



Notice of a public meeting of

Audit and Governance Committee

- To:** Councillors Lomas (Chair), Fisher (Vice-Chair), Baker, Cuthbertson, Musson, Wann, Webb and Leigh (Independent Member)
- Date:** Wednesday, 30 November 2022
- Time:** 5.30 pm
- Venue:** The George Hudson Board Room - 1st Floor West Offices (F045)

AGENDA

1. Declarations of Interest

At this point in the meeting, Members are asked to declare any disclosable pecuniary interest or other registerable interest they might have in respect of business on this agenda, if they have not already done so in advance on the Register of Interests.

2. Minutes (Pages 1 - 6)

To approve and sign the minutes of the meeting held on 7 September 2022.

3. Public Participation

At this point in the meeting members of the public who have registered to speak can do so. Members of the public may speak on agenda items or on matters within the remit of the Executive. **Please note that our registration deadlines are set at 2 working days before the meeting, in order to facilitate the management of public participation at our meetings.** The deadline for

registering at this meeting is **5:00pm on Monday, 28 November 2022**. To register to speak please visit www.york.gov.uk/AttendCouncilMeetings to fill in an online registration form. If you have any questions about the registration form or the meeting, please contact Democratic Services. Contact details can be found at the foot of this agenda.

Webcasting of Public Meetings

Please note that, subject to available resources, this meeting will be webcast including any registered public speakers who have given their permission. The meeting can be viewed live and on demand at www.york.gov.uk/webcasts.

During coronavirus, we made some changes to how we ran council meetings, including facilitating remote participation by public speakers. See our updates (www.york.gov.uk/COVIDDemocracy) for more information on meetings and decisions.

4. Corporate Governance Report (Pages 7 - 48)

This report provides the Committee with updates in respect of:

- Corporate Governance performance indicators update;
- Information Commissioners Office (ICO) published decision notices;
- Ombudsmen update including Local Government and Social Care Ombudsman (LGSCO) cases from the previous report in June 2022 to date of preparing this report on 1st November 2022 and Housing Ombudsman Service (HOS) complaint handling code self-assessment;
- Investigatory Powers Commissioners Office (IPCO) update.

5. Audit Completion Report (Pages 49 - 90)

The paper attached at Annex A is the Audit Completion Report from Mazars and communicates their findings to date of the audit for the year ended 31 March 2022.

6. Draft Accounts (Pages 91 - 284)

This report presents an updated draft accounts for 2021/22, which follow draft pre-audit accounts previously presented on 29th June 2022. There are two national regulatory/ technical issues as set out at paragraphs 5 & 6 which mean that the Accounts presented are still in draft format, whilst we await the outcome of these matters.

7. Internal audit & counter fraud progress report (Pages 285 - 312)

This report provides an update on the delivery of the internal audit work programme for 2022/23 and on counter fraud activity undertaken so far in the year.

8. Treasury Management Midyear Review (Pages 313 - 330)

The Committee are responsible for ensuring effective scrutiny of the treasury management strategy and policies, as stated in the Treasury Management Strategy 2023/23 approved by full Council on 17 February 2022.

9. Progress against the Action Plan prepared in response to the Public Interest Report (Pages 331 - 344)

To update the committee on the conclusion of delivery of the Action Plan, prepared in response to the Report in the Public Interest dated 19 April 2021.

10. Audit and Governance Work Plan (Pages 345 - 346)

To receive a plan of reports currently expected to be presented to future meetings of the Committee up to March 2023.

11. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

Name: Robert Flintoft

Telephone: (01904) 555704

Email: Robert.flintoft@york.gov.uk

For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak

- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.